

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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	:	Chapter 11
In re:	:	
	:	Case No. 08-13555 (SCC)
LEHMAN BROTHERS HOLDINGS, INC., <i>et al.</i>	:	
	:	(Jointly Administered)
Debtors.	:	
	:	Ref. Docket Nos. 57114, 57319,
	:	57448, 57449, 57451, 57452, 57453,
	:	57489, 57506, 57508, 57510, 57580,
	:	57581, 57582, 57584, 57585, 57602
-----	X	

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

CATHERINE HENRIQUEZ, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On February 2, 2018, I caused to be served the "Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," dated February 2, 2018, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Catherine Henriquez  
Catherine Henriquez

Sworn to before me this  
5<sup>th</sup> day of February, 2018  
/s/ John Chau

\_\_\_\_\_  
Notary Public, State of New York  
No. 01CH6353383  
Qualified in the County of Queens  
Commission Expires January 23, 2021

## **EXHIBIT A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To:

UBS AG  
BAHNHOFSTR. 45  
ATTN: HUGO KOLLER  
ZURICH 8001  
SWITZERLAND

UBS AG  
ATTN: HUGO KOLLER, OQ9C/O5GC  
P.O. BOX  
ZURICH 8098  
SWITZERLAND

Please note that your claim # 5559233-20 in the above referenced case and in the amount of  
\$0.00 allowed at \$61,729.04 has been transferred (unless previously expunged by court order)

BAR(23) MAILID \*\*\* 000125517506 \*\*\*

LBH TRFNTC (MERGE2, TXNUM2) 4000184593



CREDIT SUISSE AG, SINGAPORE BRANCH  
TRANSFEROR: UBS AG  
C/O KLESTADT WINTERS JURELLER SOUTHARD & STEVENS, LLP  
ATTN: STEPHANIE SWEENEY, ESQ.  
200 WEST 41ST ST  
17TH FLOOR  
NEW YORK, NY 10036

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 57602 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 02/02/2018

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on February 2, 2018.

## **EXHIBIT B**

Claim Name	Address Information
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: SOLUS OPPORTUNITIES FUND 1 LP, C/O BOA MERRILL LYNCH;A.JAKIC & R.WEDDLE, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: SOLUS OPPORTUNITIES FUND 3 LP, C/O BOA MERRILL LYNCH;A.JAKIC & R.WEDDLE, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: SOLUS OPPORTUNITIES FUND 5 LP, C/O BOA MERRILL LYNCH;A.JAKIC & R.WEDDLE, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036
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BANCA ESPERIA S.P.A.	TRANSFEROR: UBS EUROPE SE, ATTN: PAOLA COLLIVA, VIA DANTE, 16, MILANO 20121 ITALY
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BANCA ESPERIA S.P.A.	TRANSFEROR: UBS EUROPE SE, ATTN: PAOLA COLLIVA, VIA DANTE, 16, MILANO 20121 ITALY
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC., C/O DAVIDSON KEMPNER-J.DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC., C/O DAVIDSON KEMPNER-J.DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
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**SERVICE LIST**  
Pg 6 Of 18

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13/18 SERVICE LIST  
Pg 7 Of 18

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### **Address Information**

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**SERVICE LIST**  
Pg 9 of 18

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CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: BAUPOST GROUP SECURITIES, L.L.C., ATTN: KENNETH KEELEY, 390 GREENWICH STREET, 4TH FLOOR, NEW YORK, NY 10013
CITIGROUP FINANCIAL PRODUCTS INC.	ELANIT SNOW, C/O PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
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**SERVICE LIST**  
Pg 10 of 18

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**SERVICE LIST**  
Pg 11 of 18

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## Pg 14 of 18

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### **Address Information**

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Claim Name	Address Information
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: VARDE INVESTMENT PARTNERS, LP, ATTN: KENNETH KEELEY, 390 GREENWICH STREET, 4TH FLOOR, NEW YORK, NY 10013
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: VARDE INVESTMENT PARTNERS, LP, ATTN: KENNETH KEELEY, 390 GREENWICH STREET, 4TH FLOOR, NEW YORK, NY 10013
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: VARDE INVESTMENT PARTNERS, LP, ATTN: KENNETH KEELEY, 390 GREENWICH STREET, 4TH FLOOR, NEW YORK, NY 10013
COMPAGNIE MONEGASQUE DE BANQUE	23, AVENUE DE LA COSTA, MONACO 98000 MONACO
COMPAGNIE MONEGASQUE DE BANQUE	23, AVENUE DE LA COSTA, MONACO 98000 MONACO
COMPAGNIE MONEGASQUE DE BANQUE	23, AVENUE DE LA COSTA, MONACO 98000 MONACO
CREDIT SUISSE AG, SINGAPORE BRANCH	TRANSFEROR: UBS AG, C/O KLESTADT WINTERS JURELLER SOUTHARD & STEVENS, LLP, ATTN: STEPHANIE SWEENEY, ESQ., 200 WEST 41ST ST, 17TH FLOOR, NEW YORK, NY 10036
DEUTSCHE BANK AG, LONDON BRANCH (UK)	TRANSFEROR: CREDIT SUISSE, ATTN: KAIRI JAMES, WINCHESTER HOUSE, 1 GREAT WINCHESTER ST, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH (UK)	TRANSFEROR: CREDIT SUISSE, ATTN: KAIRI JAMES, WINCHESTER HOUSE, 1 GREAT WINCHESTER ST, LONDON EC2N 2DB UNITED KINGDOM
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: BANC OF AMERICA CREDIT PRODUCTS, INC., ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD, SUITE 2950, LOS ANGELES, CA 90067
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: BANC OF AMERICA CREDIT PRODUCTS, INC., ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD, SUITE 2950, LOS ANGELES, CA 90067
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EMPYREAN INVESTMENTS, LLC	TRANSFEROR: BANC OF AMERICA CREDIT PRODUCTS, INC., ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD, SUITE 2950, LOS ANGELES, CA 90067
FARALLON CAPITAL INSTITUTIONAL PARTNERS II, L.P.	TRANSFEROR: JPMORGAN SECURITIES PLC, C/O FARALLON CAPITAL MANAGEMENT, LLC, ATTN: MAX STRASBURG, ONE MARITIME PLAZA, SUITE 2100, SAN FRANCISCO, CA 94111
MERRILL, LYNCH, PIERCE, FENNER & SMITH INC.	TRANSFEROR: FARALLON CAPITAL INSTITUTIONAL PARTNERS II, L.P., ATTN: MEREDITH R. SMITH, 214 NORTH TRYON STREET, 15TH FLOOR, CHARLOTTE, NC 28255
UBS AG	BAHNHOFSTR. 45, ATTN: HUGO KOLLER, ZURICH 8001 SWITZERLAND
UBS AG	ATTN: HUGO KOLLER, Q09C/05GC, P.O. BOX, ZURICH 8098 SWITZERLAND
UBS EUROPE SE	F/K/A UBS (ITALIA) SPA, ATTN: VALENTINA NEMBRI, VIA BENIGNO CRESPI 24, MILANO 20159 ITALY
UBS EUROPE SE	F/K/A UBS (ITALIA) SPA, ATTN: VALENTINA NEMBRI, VIA BENIGNO CRESPI 24, MILANO 20159 ITALY
UBS EUROPE SE	F/K/A UBS (ITALIA) SPA, ATTN: VALENTINA NEMBRI, VIA BENIGNO CRESPI 24, MILANO

Claim Name	Address Information
UBS EUROPE SE	20159 ITALY
UNIPOL BANCA S.P.A.	TRANSFEROR: COMPAGNIE MONEGASQUE DE BANQUE, PIAZZA DELLA COSTITUZIONE, 2, 40128 BOLOGNA ITALY
VARDE INVESTMENT PARTNERS, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
VARDE INVESTMENT PARTNERS, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
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<b>Total Creditor Count 351</b>
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